

Auxiliary materials for “Porous fluid flow enables oceanic subduction initiation on Earth”

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1 Model design

2 Tectonic setup of two oceanic plates of contrasting ages juxtaposed through the trans-
3 form fault [Hall *et al.*, 2003; Gurnis *et al.*, 2004] is shown on the Fig. 1a. Older plate
4 (on the left) and younger plate (on the right) are separated by a wet transform zone
5 denoted by a yellow color. All shades of blue indicate lithospheric plates and astheno-
6 spheric mantle (Table S2). Both plates are covered with 2 km of upper basaltic and 5
7 km of lower gabbroic crust. Wet transform is covered with 7 km of basalts. On the op,
8 the whole system is covered with a 20 km low density and viscosity sea water layer
9 (Table S2) to simulate the free surface Schmeling *et al.* [2008]; Cramer *et al.* [2012]. All
10 the boundaries are free slip except the lower one which is permeable in the vertical
11 direction.

12 The initial thermal structure is calculated according to the cooling ages of the plates
13 using half-space model [Turcotte and Schubert, 2002]:

$$T = T_1 + (T_0 - T_1) \cdot \left(1 - \operatorname{erf} \left(\frac{d}{2\sqrt{\kappa\tau}} \right) \right),$$

14 where $T_0 = 273$ K and $T_1 = 1600$ K is the surface and astenospheric mantle temper-
15 ature, d is the depth, τ is the plate age and $\kappa = 10^{-6} m^2 s^{-1}$ is thermal diffusivity.
16 In order to provide the sufficient heat transfer from the plates' surface, sea water is
17 prescribed with the thermal conductivity of two orders of magnitude higher than the
18 one of the plates (Table S2).

19 Governing equations

20 The system of equations for fluid-filled matrix viscous flow is similar to existing ap-
 21 proaches [*Stevenson and Scott, 1991; Connolly and Podladchikov, 2000; McKenzie, 1984;*
 22 *Morency et al., 2007*] and reformulated for convenient implementation with our nu-
 23 merical technique. As a starting point of our model, we have taken the equation sys-
 24 tem of *Stevenson and Scott [1991]* with two-pressures approach (Eq. S1): one variable
 25 indicates the pressure in the solid phase and another for the fluid. The modifica-
 26 tion we have introduced is the use of total pressure (p_t) rather than fluid pressure
 27 (p_f) in the total momentum conservation equation written for the “bulk” material,
 28 i.e., porous solid matrix filled with the fluid [*Stevenson and Scott, 1991; Morency et al.,*
 29 *2007*]. That means that in our hydro-thermo-mnechanical (HTM) approach we com-
 30 bine two parallel processes: visco-plastic flow of the “bulk” porous material and the
 31 corresponding fluid filtration.

32 Viscous compaction is represented by the porosity equation [e.g. *Connolly and Pod-*
 33 *ladchikov, 2000, 1998*]:

$$\frac{D \ln(1 - \varphi)}{Dt} = \frac{p_t - p_f}{\eta_{bulk}}, \quad (\text{S1})$$

34 where φ is porosity, $p_{t,f}$ is total and fluid pressure, respectively, η_{bulk} is effective bulk
 35 viscosity of a porous fluid-filled matrix. This equation is solved separately from the
 36 coupled system of the complex fluid-solid flow.

37 In accordance with previously derived systems [*Morency et al., 2007*], for the mass
 38 conservation expression we assume individual media incompressibility (though the
 39 bulk material, porous fluid-filled matrix, might be not conserved due to pore open-
 40 ing/closure). For our model we use Boussinesq approximation which is taken into
 41 account in many existing mantle convection models [*Moresi and Solomatov, 1995; Gerya*
 42 *and Yuen, 2003; Albers, 2000; Crameri et al., 2012*] and where density is assumed to be
 43 constant in all terms except for buoyancy force. Thus, for both solid and fluid phase
 44 we have:

$$\begin{aligned} \text{div}(v^S) &= -\frac{D(\ln(1 - \varphi))}{Dt}, \\ \text{div}(v^D) &= \frac{D(\ln(1 - \varphi))}{Dt}, \end{aligned}$$

46 where $v^{S,f}$ is solid and fluid velocities respectively, Darcy velocity $v^D = \varphi(v^f - v^S)$.
 47 Using the porosity evolution law (Eq. S1), mass conservation equations take the form:

$$\text{div}(v^S) = -\frac{p_t - p_f}{\eta_{bulk}}, \quad (\text{S2})$$

$$div(v^D) = \frac{p_t - p_f}{\eta_{bulk}}. \quad (S3)$$

48 Darcy law describes the flow of the fluid through the porous medium:

$$v_x^D = -\frac{K}{\eta_f} \cdot \frac{\partial p_f}{\partial x}, \quad (S4)$$

$$49 \quad v_y^D = \frac{K}{\eta_f} \cdot \left(\rho_f g_y - \frac{\partial p_f}{\partial y} \right), \quad (S5)$$

50 where K is matrix permeability, ρ_f and η_f is fluid density and viscosity respectively.
 51 Gravitational acceleration \vec{g} is directed downward along the vertical y -axis, while x -
 52 axis in the model is horizontal, thus $g_y = 9.81 \text{ m/s}^2$.

53 After *Stevenson and Scott* [1991], momentum conservation equation for bulk material
 54 is written in the form of Stokes equation of viscous fluid flow in the gravity field,
 55 inertia terms are neglected (so-called ‘‘slow flow’’ approximation):

$$\frac{\partial \sigma'_{ij}}{\partial x_j} - \frac{\partial p^f}{\partial x_i} = -g_i \rho_t,$$

56 where σ'_{ij} is bulk deviatoric stress, and $\rho_t = \rho_s(1 - \varphi) + \rho_f \varphi$ is bulk material density.
 57 Similarly to the previous work [*Stevenson and Scott*, 1991], deviatoric stress of the bulk
 58 material (porous fluid-filled matrix) is written in terms of strain rate components of
 59 the solid matrix:

$$\sigma'_{ij} = 2\eta \dot{\epsilon}_{ij}^s + \eta_{bulk} \dot{\epsilon}_{kk}^s \delta_{ij},$$

60 where the first compound is a shear stress component and the second is volumetric
 61 stress component, η is effective shear viscosity of the porous fluid-filled matrix. De-
 62 viatoric strain rate components are calculated in terms of solid matrix velocities [e.g.
 63 *Gerya and Yuen*, 2003, 2007] as:

$$\dot{\epsilon}_{xx}^s = \frac{1}{2} \left(\frac{\partial v_x^S}{\partial x} - \frac{\partial v_y^S}{\partial y} \right),$$

$$64 \quad \dot{\epsilon}_{yy}^s = \frac{1}{2} \left(\frac{\partial v_y^S}{\partial y} - \frac{\partial v_x^S}{\partial x} \right),$$

$$65 \quad \dot{\epsilon}_{xy}^s = \frac{1}{2} \left(\frac{\partial v_x^S}{\partial y} + \frac{\partial v_y^S}{\partial x} \right).$$

66 Thus, using the equation of solid mass conservation Eq. S2 and expressions above for

67 $\dot{\epsilon}'_{ij}$, we have:

$$\sigma'_{ij} = 2\eta\dot{\epsilon}'_{ij} + \eta_{bulk} \cdot \text{div}(v^S)\delta_{ij} = 2\eta\dot{\epsilon}'_{ij} + (p_f - p_t)\delta_{ij}, \quad (S6)$$

68 and distinct stress components can be rewritten as following:

$$\sigma'_{xx} = \eta \left(\frac{\partial v_x^S}{\partial x} - \frac{\partial v_y^S}{\partial y} \right) + p_f - p_t,$$

69

$$\sigma'_{yy} = \eta \left(\frac{\partial v_y^S}{\partial y} - \frac{\partial v_x^S}{\partial x} \right) + p_f - p_t,$$

70

$$\sigma'_{xy} = \eta \left(\frac{\partial v_x^S}{\partial y} + \frac{\partial v_y^S}{\partial x} \right).$$

71 After substituting these expressions, x- and y-Stokes equations take the form:

$$\frac{\partial}{\partial x} \left(\eta \left(\frac{\partial v_x^S}{\partial x} - \frac{\partial v_y^S}{\partial y} \right) \right) + \frac{\partial}{\partial y} \left(\eta \left(\frac{\partial v_x^S}{\partial y} + \frac{\partial v_y^S}{\partial x} \right) \right) - \frac{\partial p_t}{\partial x} = 0, \quad (S7)$$

72

$$\frac{\partial}{\partial y} \left(\eta \left(\frac{\partial v_y^S}{\partial y} - \frac{\partial v_x^S}{\partial x} \right) \right) + \frac{\partial}{\partial x} \left(\eta \left(\frac{\partial v_x^S}{\partial y} + \frac{\partial v_y^S}{\partial x} \right) \right) - \frac{\partial p_t}{\partial y} = -\rho_t g_y. \quad (S8)$$

73 The temperature equation in our model is implemented in Lagrangian frame of
74 reference [Gerya and Yuen, 2003] for the fluid-filled matrix in terms of temperature T
75 and thermal conductivity k (internal heating terms are neglected):

$$\rho_t C_p \frac{DT}{Dt} = \frac{\partial}{\partial x} \left(k \frac{\partial T}{\partial x} \right) + \frac{\partial}{\partial y} \left(k \frac{\partial T}{\partial y} \right). \quad (S9)$$

76 Rheological model

77 The rheological model employed is non-Newtonian visco-plastic. Therefore, the devi-
78 atoric strain rate is composed of two terms:

$$\dot{\epsilon}'_{ij} = \dot{\epsilon}'_{ij(\text{viscous})} + \dot{\epsilon}'_{ij(\text{plastic})},$$

79 where

$$\dot{\epsilon}'_{ij(\text{viscous})} = \frac{1}{2\eta} \sigma'_{ij},$$

80

$$\dot{\epsilon}'_{ij(\text{plastic})} = 0 \text{ for } \sigma_{II} < \sigma_{\text{yield}},$$

81

$$\dot{\epsilon}'_{ij(plastic)} = \chi \frac{\partial G}{\partial \sigma'_{ij}} = \chi \frac{\sigma'_{ij}}{2\sigma_{II}} \text{ for } \sigma_{II} = \sigma_{yield},$$

82

$$G = \sigma_{II},$$

83

$$\sigma_{II} = \sqrt{\frac{1}{2}\sigma'_{ij}\sigma'_{ij}},$$

84 where σ'_{ij} is deviatoric stress component, σ_{yield} is brittle/plastic strength of bulk ma-
 85 terial (see below), σ_{II} is a second deviatoric stress invariant, G is plastic potential of
 86 yielding material [Vermeer, 1990], χ is plastic multiplier that at every time step satisfies
 87 the plastic yielding condition:

$$\sigma_{II} = \sigma_{yield}.$$

88 Brittle/plastic strength for interconnected fluid-filled matrix ($\varphi > 10^{-4}$) is calcu-
 89 lated with fluid pressure weakening taken into account [Ranalli, 1995; Rozhko et al.,
 90 2007]:

$$\sigma_{yield} = C + \gamma(p_t - p_f),$$

91 where C is residual rock strength at zero pressure. At low porosities ($\varphi < 10^{-4}$), pores
 92 are considered to be isolated and fluid pressure weakening is not applied.

93 The effective shear viscosity of the rocks depends on the stress, pressure and tem-
 94 perature. It is calculated from the rheological law [Ranalli, 1995; Gerya, 2010] defined
 95 through the experimentally determined flow law parameters:

$$\eta = (\sigma_{II})^{(1-n)} \frac{1}{2A_D} \cdot \exp\left(\frac{E_a + p_t V_a}{RT}\right)$$

96 where A_D is pre-exponential factor, E_a and V_a are activation energy and volume, n is
 97 the stress exponent, R is the gas constant.

98 Numerical solution

99 The original Matlab-code used for the experiments is based on conservative finite
 100 differences approach and is applied on a fully-staggered grid in combination with
 101 markers-in-cell technique [Gerya and Yuen, 2003, 2007]. Computational domain of 600
 102 km x 250 km size has a uniform grid resolution of 1 km x 1 km and contains about
 103 3.8 millions of randomly distributed Lagrangian particles (markers) to provide the
 104 transport of material properties, such as viscosity, density, porosity, permeability and
 105 rheological law parameters. By means of solid particles motion, the non-diffusive
 106 markers method advects the temperature field [Gerya and Yuen, 2003, 2007] where

107 heat advection with fluid is neglected.

108 Our coupled HTM system of equations consists of solid and fluid mass conservation
 109 equations Eq. S2 and Eq. S3, bulk material momentum conservation equations Eq. S7
 110 - S8 and Darcy fluid filtration equations Eq. S4 - S5. The amount of equations and
 111 variables in the coupled system can be decreased by combining the fluid conservation
 112 equation Eq. S3 and Darcy equations Eq. S4 - S5. If we differentiate the x -Darcy
 113 equation with respect to x , y -equation with respect to y and sum them together, we
 114 are left with:

$$\left(\frac{\partial v_x^D}{\partial x} + \frac{\partial v_y^D}{\partial y} \right) + \frac{\partial}{\partial x} \left(\frac{K}{\eta_f} \frac{\partial p_f}{\partial x} \right) + \frac{\partial}{\partial y} \left(\frac{K}{\eta_f} \frac{\partial p_f}{\partial y} \right) = \frac{\partial}{\partial y} \left(\frac{K}{\eta_f} \rho_f g_y \right),$$

115 from where, using the Eq. S3 and assumption of constant fluid density and viscosity,
 116 we get:

$$(p_t - p_f) \cdot \frac{\eta_f}{\eta_{bulk}} + \frac{\partial}{\partial x} \left(K \frac{\partial p_f}{\partial x} \right) + \frac{\partial}{\partial y} \left(K \frac{\partial p_f}{\partial y} \right) = \frac{\partial K}{\partial y} \rho_f g_y. \quad (S10)$$

117 Finally, system is composed of equation of the solid mass conservation Eq. S2, Stokes
 118 viscous flow of bulk material Eq. S7 - S8 and combined fluid continuity and fluid
 119 filtration equation Eq. S10. After obtaining a solution of this system in terms of vari-
 120 ables p_t , p_f , $v_{x,y}^S$, we solve Eq. S1, S9 and S4, S5, the porosity evolution, temperature
 121 and Darcy equations, respectively.

122 Permeability of rocks

123 In our experiments, permeability of crustal and mantle rocks varies in the range be-
 124 tween 10^{-16} and 10^{-21} m^2 . Values of chosen interval are rather on the lower bound
 125 of what is typically measured in the laboratory experiments [e.g. *Brace, 1984; Fisher,*
 126 *1998; Faul, 1997*] (e.g. $10^{-13} - 10^{-18} \text{ m}^2$ for crustal basalts) or normally used for nu-
 127 merical models [e.g. *Faccenda et al., 2009; Connolly et al., 2009; Richard et al., 2006*]. The
 128 relevance of low permeability for maintenance of elevated pore fluid pressure during
 129 geologically significant periods of time was emphasized in both experimental mea-
 130 surement studies [*Brace, 1984; Trimmer et al., 1980; Brace, 1980*] and theoretical studies
 131 of abnormal fluid pressure in sedimentary, metamorphic and tectonic settings [*Neuzil,*
 132 *1995; Walder and Nur, 1984; Bredehoeft and Hanshaw, 1968; Hanshaw and Bredehoeft, 1968;*
 133 *Wong et al., 1997*]. Walder and Nur [*Walder and Nur, 1984*], in their studies of pore
 134 pressure development in the crust, derived that permeability required for retention of
 135 high porous pressure should be as low as $5 \cdot 10^{-20} - 10^{-21} \text{ m}^2$. Bredehoeft and Han-

136 saw [Bredehoeft and Hanshaw, 1968; Hanshaw and Bredehoeft, 1968] concluded that under
137 conditions of absence or bareness of low permeable layers (e.g. clays) it is doubtful
138 that anomalous pore pressures can be maintained for longer than a geologic instant.
139 In similar extended studies of dehydration systems [Wong *et al.*, 1997], authors cal-
140 culated the critical values of confining layer permeabilities required for maintenance
141 of nearly lithostatic pore pressure for typical dehydration reactions. The values fall
142 within the interval of $10^{-17} - 10^{-21} \text{ m}^2$ which is characteristic for argillaceous rocks
143 [Hanshaw and Bredehoeft, 1968] and unfractured low-porosity crystalline rocks [Walder
144 and Nur, 1984; Brace, 1980]. Even lower values (between 10^{-20} and 10^{-24} m^2) were
145 reported for intact gneissic granite and intact and fractured gabbro [Trimmer *et al.*,
146 1980].

147 Considering that resolution of our model does not allow us to resolve complex lay-
148 ered structures on the crustal level, we assume reference permeability to be equally
149 low for the large volumes of various lithologies. Although it is quite a rough approxi-
150 mation and does not allow for tracing certain complexities of geological environment,
151 it is of crucial importance for building up the nearly lithostatic pore pressures and in-
152 vestigating the fluid weakening influence on the rocks in geological systems, allowing
153 in present case, for subduction initiation and further lubrication driven by the pore
154 pressure excess. It should also be mentioned that the permeability limits estimated
155 for the spontaneous subduction initiation can notably widen in the case of induced
156 subduction initiation [e.g. Hall *et al.*, 2003; Gurnis *et al.*, 2004] due to the porous fluid
157 pressure increase caused by the initial compression of the plate boundary.

158 Another important feature is that spatial [Rice, 1992] and temporal [Walder and Nur,
159 1984] variation of permeability plays important role in the development of excess
160 pore pressure. Thus, among two similar studies [Hanshaw and Bredehoeft, 1968; Wong
161 *et al.*, 1997] of anomalous pore pressure in dehydrating systems, the one with variable
162 porosity-dependent permeability [Wong *et al.*, 1997] shows $\sim 20\%$ less of pore pressure
163 excess than the one with fixed permeability values. Therefore porosity-dependent per-
164 meability (Eq. 4) [e.g. Wong *et al.*, 1997; Morency *et al.*, 2007; Connolly and Podladchikov,
165 2000] recalculated at each time step is of particular importance for model develop-
166 ment and evolvement of local fluid flow focussing phenomena such as shear bands
167 hydration (Fig. S2 - S3).

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Table S1: Legend of variables.

A_D	material constant [$MPa^{-n}s^{-1}$]
b	bulk exponent
C	residual rock strength at zero pressure [Pa]
C_p	isobaric heat capacity [$J kg^{-1}K^{-1}$]
E_a	activation energy [$kJ mol^{-1}$]
G	plastic potential [Pa]
g_y	gravitational acceleration [$m s^{-2}$]
K	permeability [m^2]
k	thermal conductivity [$W m^{-1}K^{-1}$]
n	stress exponent
p_f	fluid pressure [Pa]
p_s	solid pressure [Pa]
$p_t = (1 - \varphi)p_s + \varphi p_f$	total pressure [Pa]
R	gas constant [$J mol^{-1}K^{-1}$]
T	temperature [K]
t	time [s]
V_a	activation volume [$J MPa^{-1} mol^{-1}$]
$v^D = \varphi(v^f - v^s)$	Darcy velocity [$m s^{-1}$]
v^f	fluid velocity [$m s^{-1}$]
v^s	solid velocity [$m s^{-1}$]
q_i	heat flux [Wm^{-2}]
γ	internal friction coefficient
$\dot{\epsilon}'_{ij}$	deviatoric strain rate tensor component [s^{-1}]
φ	porosity
$\rho_f = 1000 kg m^{-3}$	fluid density [$kg m^{-3}$]
ρ_s	solid density [$kg m^{-3}$]
$\rho_t = (1 - \varphi)\rho_s + \varphi\rho_f$	total density [$kg m^{-3}$]
$\eta_{bulk} = \eta / \varphi^b$	effective bulk viscosity of fluid-filled matrix [$Pa s$]
$\eta_f = 10^{-3} Pa s$	fluid viscosity [$Pa s$]
η	effective shear viscosity of fluid-filled matrix [$Pa s$]
σ_{II}	second deviatoric stress tensor invariant [Pa]
σ'_{ij}	deviatoric stress tensor component [Pa]
σ_{yield}	plastic yield strength [Pa]

Table S2: Physical properties of the lithologies used in the experiments; other parameters for all the lithologies are: thermal expansion $\alpha = 3 \cdot 10^{-5} K^{-1}$, isobaric heat capacity $C_p = 1000 J kg^{-1} K^{-1}$.

Rock type	Density <i>Turcotte and Schubert</i> [2002] kg/m^3	Porosity %	γ	C MPa	Thermal con- ductivity $W m^{-1} K^{-1}$	Flow law <i>Ranalli</i> [1995]
Upper basaltic crust	3100	0.5 - 3.0	0.2 - 0.6	1	1.18	Plagioclase <i>An₇₅</i>
Lower gabbroic crust	3150	0.5 - 1.0	0.6	1	1.18	Plagioclase <i>An₇₅</i>
Wet transform	3250	0.5 - 3.0	0.2 - 0.6	1	0.73	Wet olivine
Lithospheric mantle	3300	~ 0	0.6	1	0.73	Dry olivine
Asthenospheric mantle	3300	~ 0	0.6	1	0.73	Dry olivine
Sticky water	1000	10.0	0.0	10^4	300	Newtonian, $10^{-18} Pa \cdot s$

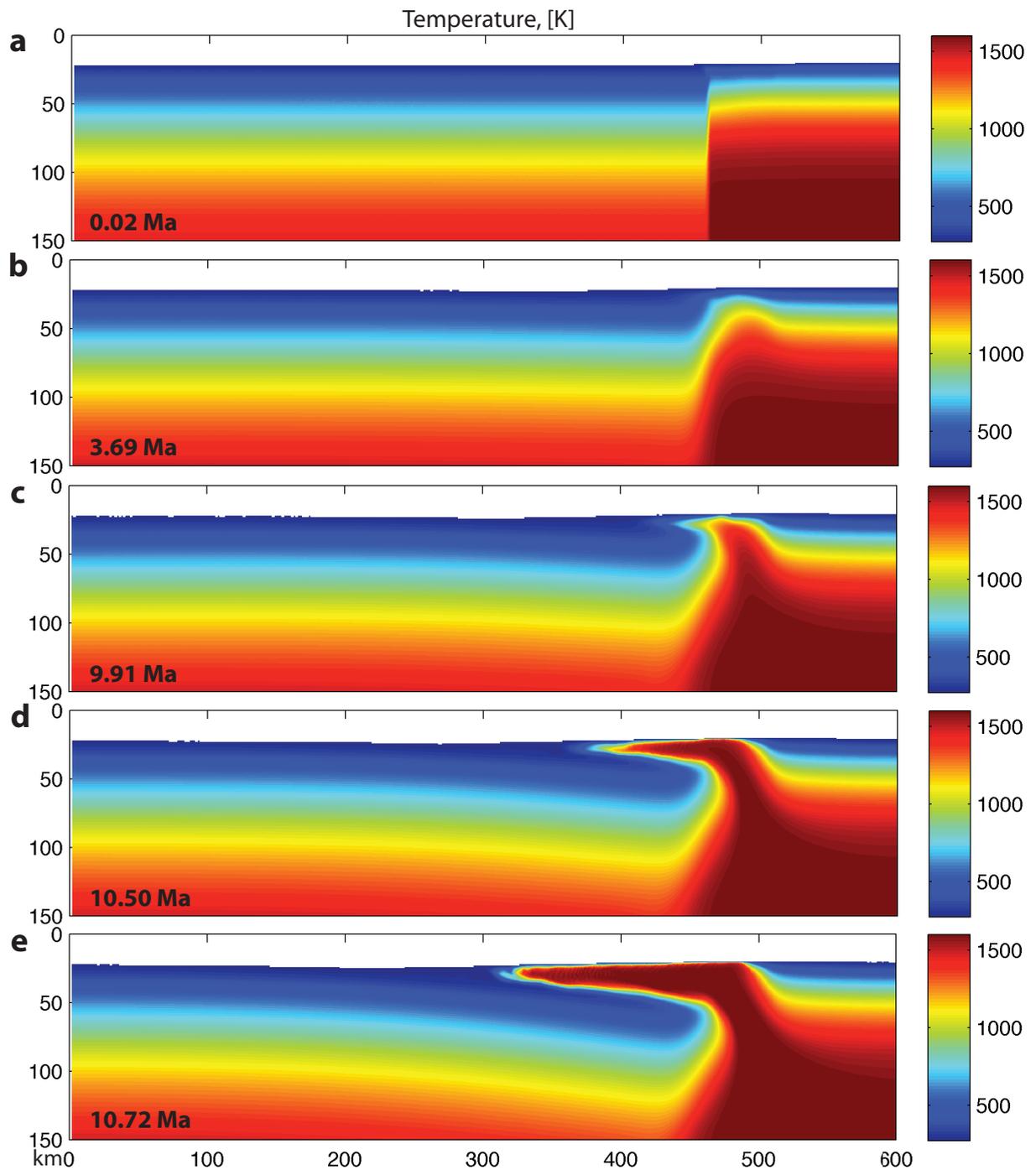


Figure S1: Evolution of the temperature field for the model development of Fig. 2. **a.** Temperature is initially distributed according to the cooling ages of the plates *Turcotte and Schubert* [2002], is equal to 273 K on the surface and reaches the value of 1600 K in the asthenospheric mantle. **b.-e.** Hot asthenospheric mantle gradually overrides bending older oceanic plate forming the slab.

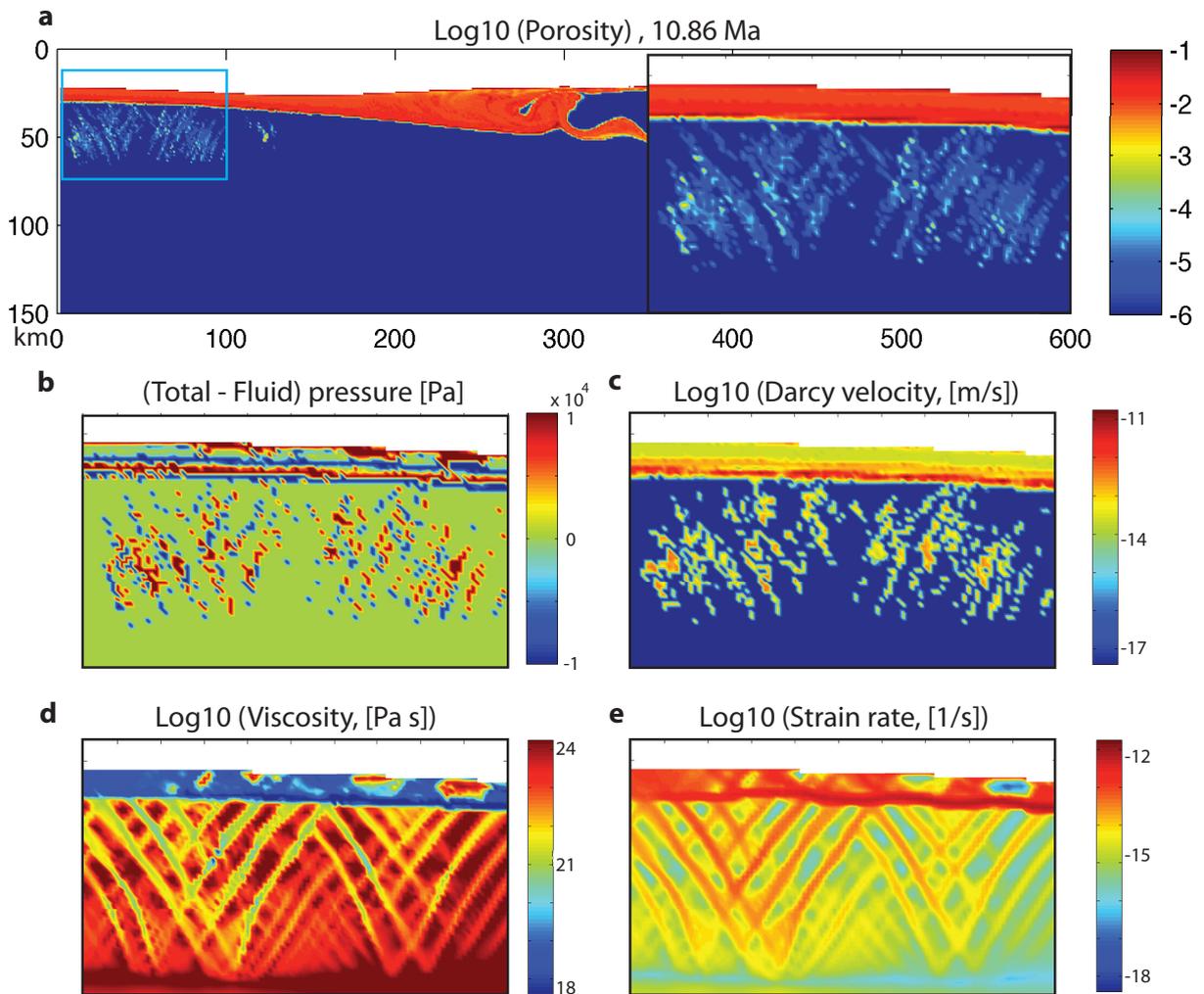


Figure S2: Fluid downward suction into the normal faults formed in the slab bending region. **a.** Porosity diagram: opening of the pore space inside the shear zones. **b.** Difference between total and fluid pressure; narrow limits of colormap are imposed to increase the visibility of the fluid overpressure zones (blue color). **c.** Darcy (fluid filtration) velocity: fluid percolation inside the faults. **d.** Viscosity diagram with normal faults marked by the zones of lowered effective shear viscosity. **e.** Strainrate diagram.

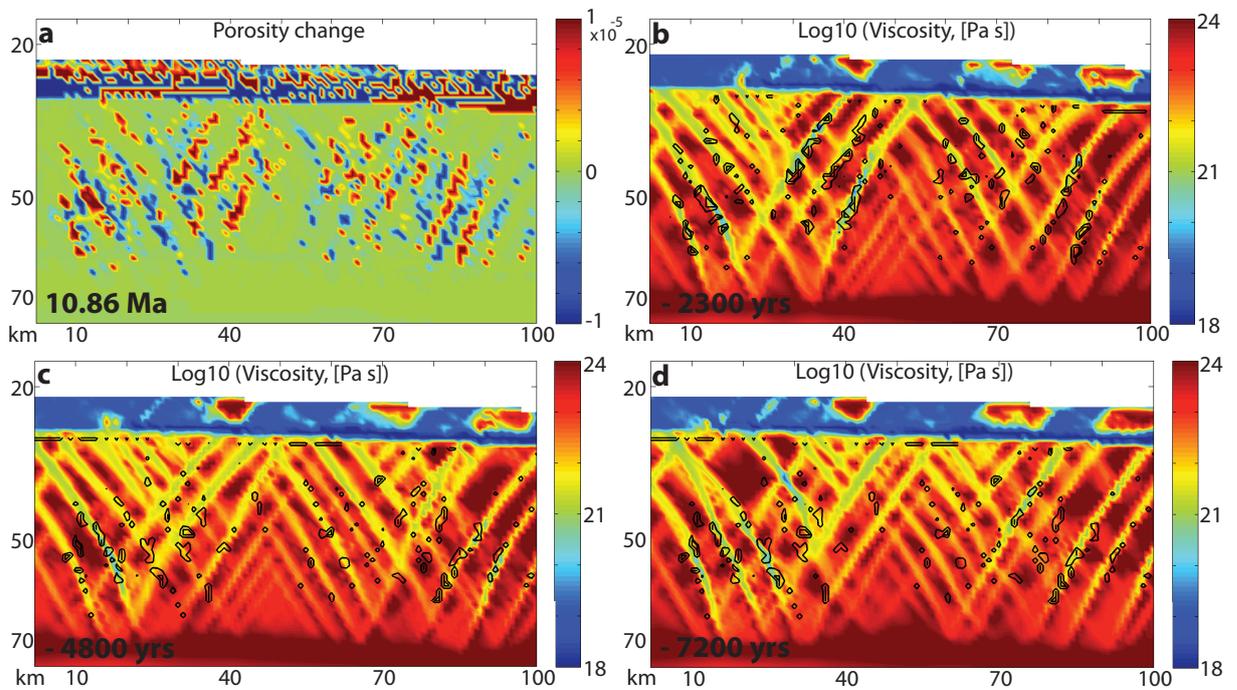


Figure S3: Evolution of porous space inside the normal faults at the time moment of 10.86 Ma (Fig. S3). **a.** Porosity change between two time moments (present and -2300 yrs). Color limits of the diagram are intensified for the better visibility. Red zones signify opening of the porous space, blue zones imply pore closure. **b.** Viscosity diagram with normal faults distribution for the previous time moment (-2300 yrs). Black contours stand for the red areas from the diagram **a** which signify opening of the pores getting filled with fluid. One can see that pore space opening happens inside the faults. **c.** - **d.** Viscosity diagrams with normal faults distribution for two earlier moments of time (-4800 and -7200 yrs). Black contours signify blue areas from the diagram **a** which mean porous space closure. One can see that the previously open fluid filled pores are collapsing after faults location is shifted.